



Government of Himachal Pradesh
Results-Framework Document (R F D)
for
(Urban Development)
(2012-2013)

Section 1: Vision, Mission, Objectives and Functions

Vision

To facilitate creation of economically vibrant, inclusive, efficient, environment friendly and sustainable urban habitats

Mission

Act as facilitator and regulator to provide administrative and financial support to Urban Local Bodies to improve their municipal service delivery system and facilitation of quality urban infrastructure.

Objective

- 1 To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.
- 2 Capacity building of key stake holders including elected representatives for efficient urban management
- 3 Slum free city planning
- 4 Facilitating generation of employment by imparting skill development training to urban poor under SJSRY scheme
- 5 Promote roof top rain water harvesting system to reduce the use of potable water
- 6 To improve environment and sanitary conditions by providing solid waste management and sewerage system
- 7 Improve the financial conditions of the Urban Local Bodies through improved tax Collection

Functions

- 1 Ensuring proper utilisation of grant in aid in accordance with the rules
- 2 Implementation of Centrally /state sponsored schemes
- 3 Scrutiny of development works estimates
- 4 Periodical inspection of Urban Local Bodies
- 5 Settlement of audit, PAC and CAG paras.
- 6 Election matters of Urban Local Bodies
- 7 Regulating finances of the Urban Local Bodies
- 8 Regulating proceedings of the Urban Local Bodies in accordance with the rules and regulations
- 9 Streamlining the tax structures of the Urban Local Bodies to improve their finances
- 10 Finalisation of draft model municipal building bye laws.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.	20.00	[1.1] Completion of Projects under JNNURM(Total Projects-7)	[1.1.1] %age of progress achieved in two projects under JNNURM (WS & Sewerage)	%age	3.00	2	1	0.50	0.25	0
			[1.1.2] %age of progress achieved in Ashiana-II	%age	2.00	50	45	40	35	30
			[1.1.3] Finalisation of RFP & tendring for 2 projects (e-governance and sanitary landfill)	Date	1.00	15/02/2012	28/02/2012	10/03/2012	20/03/2012	31/03/2012
		[1.2] Completion of of projects under IHSDP (Total Projects-8)	[1.2.1] %age of progress achieved in 2 projects	%age	3.00	40	35	30	25	20
			[1.2.2] Number of projects to be completed under IHSDP	number	0.00	--	--	--	--	--
		[1.3] Completion of projects under UIDSSMT (Total Projects-4)	[1.3.1] Number of projects to be completed under UIDSSMT	number	2.00	100	90	80	70	60
			[1.3.2] %age of progress achieved in 2 projects	%age	1.00	50	45	40	35	30
		[1.4] Utilisatin of 13th FC special grant in aid	[1.4.1] Release of funds	%age	4.00	100	90	80	70	60
		[1.5] Implementation of Solid Waste Management(Total Projects-8)	[1.5.1] Completion of ongoing 4 projects	%age	1.00	45	40	36	32	28
			[1.5.2] Progress in ongoing 4 SWM projects	%age	1.00	40	35	30	25	20
		[1.6] Timely Release of funds to the ULBs as per budgetary provisions	[1.6.1] 80% Release of funds to the ULBs	Date	2.00	20/03/2012	25/03/2012	27/03/2012	29/03/2012	31/03/2012

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Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[2] Capacity building of key stake holders including elected representatives for efficient urban management	10.00	[2.1] Training programmes for functionaries of ULBs and Directorate (Total -480)	[2.1.1] Number of Functionaries provided training	Number	4.00	100	90	80	70	60
		[2.2] Training programme for elected representatives (Total-502)	[2.2.1] Number of elected representatives provided training	Number	4.00	80	70	60	50	40
		[2.3] Exposure visits to see the best practices prevailing in urban management	[2.3.1] Number of persons visited to best practices	Number	2.00	36	34	32	30	28
[3] Slum free city planning	10.00	[3.1] Completion of slum surveys in MC Shimla under RAY.	[3.1.1] Completion of Slum survey in the selected ULBs under RAY	Date	10.00	20/03/2012	25/03/2012	27/03/2012	29/03/2012	31/03/2012
[4] Facilitating generation of employment by imparting skill development training to urban poors under SJSRY scheme	10.00	[4.1] Provide support for self employment and imparting skill development training	[4.1.1] Provide skill development training under SJSRY	Number	5.00	131	120	110	100	90
			[4.1.2] Provide support for self employment	Number	3.00	103	90	80	70	60
			[4.1.3] Persons lifted above the poverty line by virtue of SJSRY	Number	2.00	40	30	20	10	0
[5] Promote roof top rain water harvesting system to reduce the use of potable water	10.00	[5.1] C/o rain water structures	[5.1.1] C/o rain water harvesting structures	%age	10.00	30	28	27	26	25
[6] To improve environment and sanitary conditions by providing solid waste management and sewerage system	10.00	[6.1] Completion of Sewerage Schemes	[6.1.1] Completion of Sewerage Schemes	Number	3.50	2	1	0	0	0
		[6.2] Completion of STPs	[6.2.1] Completion of Sewerage Treatment Plants	Number	3.50	5	4	3	2	1
		[6.3] Sewerage Connectivity	[6.3.1] Connectivity to be provided under completed	%age	3.00	54	53	52	51	50

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
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			schemes(Total capacity 36855 connections)							
[7] Improve the financial conditions of the Urban Local Bodies through improved tax Collection	10.00	[7.1] Realisation of property tax	[7.1.1] Realisation of property tax	%age	10.00	40	39	38	37	36
* Annual Plan Performace	3.00	Submission of qtly. Plan Expenditure Report	No of Reports submitted on time (By 10th of July, Oct,Jan and April)	No	3.0	4	3	2	1	--
* Performance of Flagship Programmes, ACA and EAPs	3.00	Submission of monthly progress report of Flagship Programmes/ACA releases/ Expen. & reimbursement of EAPs (if any)	No of reports submitted on time (by 10th of every month)	No	3.0	12	11	10	8	--
* Twenty Point Programme	2.00	Submission of monthly progress report	No of reports submitted on time (By 10th of every month)	No	2.0	12	11	10	8	--
* Budget Assurances	2.00		No of reports submitted on time (By 10th of every month)	No	2.0	12	11	10	8	--
* Efficient Functioning of the RFD System	5.00	Timely submission of Draft for Approval	On-time submission (May 10, 2012)	Date	2.0	10/05/2012	17/05/2012	24/05/2012	30/05/2012	--
		Timely submission of Results	On-time submission (May 15, 2013)	Date	2.0	15/05/2013	22/05/2013	29/05/2013	07/06/2013	--
		Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years (June 30, 2012)	Date	1.0	30/06/2012	31/07/2012	31/08/2012	30/09/2012	--
* Improving Internal Efficiency / responsiveness /service delivery of Department	5.00	Develop RFDs for all Subordinate Offices	Percentage of offices covered	%	1.0	100	75	50	25	--
		Implementation of RTI	Percentage of cases disposed off in time	%	2.0	100	90	80	70	--
		Redress of public Grievances(E. Samadhan)	Create a Compliant system to redress and monitor public Grievances (by May 31, 2012)	Date	2.0	31/05/2012	30/06/2012	31/07/2012	31/08/2012	--

* Mandatory Objective(s)

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

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* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value	Actual Value	Target Value	Projected Value for	Projected Value for
				FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
[1] To provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure including service delivery under various schemes/projects.	[1.1] Completion of Projects under JNNURM(Total Projects-7)	[1.1.1] %age of progress achieved in two projects under JNNURM (WS & Sewerage)	%age	1	2	30	60	90
		[1.1.2] %age of progress achieved in Ashiana-II	%age	10	50	90	100	--
		[1.1.3] Finalisation of RFP & tendring for 2 projects (e-governance and sanitary landfill)	Date	--	15/03/2012	28/02/2012	--	--
	[1.2] Completion of of projects under IHSDP (Total Projects-8)	[1.2.1] %age of progress achieved in2 projects	%age	10	45	90	100	--
		[1.2.2] Number of projects to be completed under IHSDP	number	--	--	1	1	1
	[1.3] Completion of projects under UIDSSMT (Total Projects-4)	[1.3.1] Number of projects to be completed under UIDSSMT	number	0	100	1	2	1
		[1.3.2] %age of progress achieved in 2 projects	%age	20	60	90	100	--
	[1.4] Utilisatin of 13th FC special grant in aid	[1.4.1] Release of funds	%age	0	100	100	100	100
	[1.5] Implementation of Solid Waste Management(Total Projects-8)	[1.5.1] Completion of ongoing 4 projects	%age	0	50	70	90	100
		[1.5.2] Progress in ongoing 4 SWM projects	%age	10	35	90	100	--
	[1.6] Timely Release of funds to the ULBs as	[1.6.1] 80% Release of funds to the ULBs	Date	31/03/2011	23/01/2012	20/03/2013	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	per budgetary provisions							
[2] Capacity building of key stake holders including elected representatives for efficient urban management	[2.1] Training programmes for functionaries of ULBs and Directorate (Total - 480)	[2.1.1] Number of Functionaries provided training	Number	75	150	120	135	150
	[2.2] Training programme for elected representatives (Total-502)	[2.2.1] Number of elected representatives provided training	Number	70	136	100	120	130
	[2.3] Exposure visits to see the best practices prevailing in urban management	[2.3.1] Number of persons visited to best practices	Number	0	45	50	60	70
[3] Slum free city planning	[3.1] Completion of slum surveys in MC Shimla under RAY.	[3.1.1] Completion of Slum survey in the selected ULBs under RAY	Date	0	25/03/2012	25/03/2012	0	--
[4] Facilitating generation of employment by imparting skill development training to urban poors under SJSRY scheme	[4.1] Provide support for self employment and imparting skill development training	[4.1.1] Provide skill development training under SJSRY	Number	28	262	150	170	180
		[4.1.2] Provide support for self employment	Number	54	101	110	50	55
		[4.1.3] Persons lifted above the poverty line by virtue of SJSRY	Number	20	50	50	55	60
[5] Promote roof top rain water harvesting system to reduce the use of potable water	[5.1] C/o rain water structures	[5.1.1] C/o rain water harvesting structures	%age	25	31	35	38	40
[6] To improve environment and sanitary conditions by providing solid waste management and sewerage system	[6.1] Completion of Sewerage Schemes	[6.1.1] Completion of Sewerage Schemes	Number	0	2	2	3	3

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
	[6.2] Completion of STPs	[6.2.1] Completion of Sewerage Treatment Plants	Number	0	5	4	6	7
	[6.3] Sewerage Connectivity	[6.3.1] Connectivity to be provided under completed schemes (Total capacity 36855)	%age	47	60	65	70	75
[7] Improve the financial conditions of the Urban Local Bodies through improved tax Collection	[7.1] Realisation of property tax	[7.1.1] Realisation of property tax	%age	35	60	45	50	55
* Annual Plan Performance	Submission of qtl. Plan Expenditure Report	No of Reports submitted on time (By 10th of July, Oct, Jan and April)	No	--	--	3	--	--
* Performance of Flagship Programmes, ACA and EAPs	Submission of monthly progress report of Flagship Programmes/ACA releases/ Expen. & reimbursement of EAPs (if any)	No of reports submitted on time (by 10th of every month)	No	--	--	11	--	--
* Twenty Point Programme	Submission of monthly progress report	No of reports submitted on time (By 10th of every month)	No	--	--	11	--	--
* Budget Assurances		No of reports submitted on time (By 10th of every month)	No	--	--	11	--	--
* Efficient Functioning of the RFD System	Timely submission of Draft for Approval	On-time submission (May 10, 2012)	Date	--	--	17/05/2012	--	--
	Timely submission of Results	On-time submission (May 15, 2013)	Date	--	--	22/05/2013	--	--
	Finalize a Strategic Plan	Finalize the Strategic Plan for next 5 years (June 30, 2012)	Date	--	--	31/07/2012	--	--
* Improving Internal Efficiency / responsiveness /service delivery	Develop RFDs for all Subordinate Offices	Percentage of offices covered	%	--	--	75	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value FY 10/11	Actual Value FY 11/12	Target Value FY 12/13	Projected Value for FY 13/14	Projected Value for FY 14/15
of Department								
	Implementation of RTI	Percentage of cases disposed off in time	%	--	--	90	--	--
	Redress of public Grievances(E. Samadhan)	Create a Compliant system to redress and monitor public Grievances (by May 31, 2012)	Date	--	--	30/06/2012	--	--

* Mandatory Objective(s)

Section 4:
Description and Definition of Success Indicators
and Proposed Measurement Methodology

ULB-urban Local Bodies

RAY-Rajiv Awas Yojna

Ray is a new GOI scheme under which preparatory activities re to be carried out by year 2013 for which Rs. 63.84 lacs have been released .

Municipal Corporation Shimla is being covered under RAY

JNNURM-JawaharLalNehru Urban Renewal Facility

IHSDP- Integrated Housing and Slum Development Programme.

UIDSSMT-Urban Infrastructure Development Scheme for Small and Medium Towns

Implementation of JNNURM Project in H.P.

Sr. No	Name of Component	Project Cost	(Rs.in lacs)		
			Funds Received/Released Central Share	State Share	Total
I	UIG (7 projects)	18254.67	4092.58	1012.58	5105.16
II	BSUP (2 projects)	2400.55	456.65	129.92	586.57
III	IHSDP(8 Projects)	7203.89	3021.07	1079.25	4100.32
IV	UIDSSMT (5 projects)	6168.85	2766.60	311.80	3078.40
Total (20 Projects)		34027.96	10336.90	2533.55	12870.45

SJSRY-Swaran Jayanti Shahari Rojgar Yojnais a Centrally Sponsored scheme

STPs-Sewerage Treatment Plant

26Projects re sanctioned under Sewerage scheme

16 Projects are completed having 36850 connections .

HPMCAct,1994-Himachal Pradesh Municipal Act.1994

HPMCAct,1994-Himachal Pradesh Municipal Corporation Act,1994.

Solid Waste Management:-

SWM projects funded under 12th FC (Rs in lacs)			
Sr No.	Name of ULB	Est. Cost	Amount Sanc.
1	M.C.Mandi	108.75	108.25 (by CPCB)
		325.00	70.00

2	M.C.Sundernagar	132.52	70.00
3	M.C.Paonta	71.90	51.29
4	M.C.Solan	160.00	160.00
5	M.C.Nalagarh	71.00	53.33
6	M.C.Parwanoo	257.00	108.77
7	N.P.Ghumarwin	143.18	90.00
8	M.C.Palampur	85.85	85.85

Section 5: Specific Performance Requirements from other Departments

Department	Success indicator	What do you need it	Why do you need	How much you need	What happens if you do not get it
All the line Departments /agencies/ local residents.	JNNURM, UDSSMT, IHSDP, 13 th FC, SWM and release of funds.	Technical support, finances and timely completion of the project related activities. Support from local residents for undergoing SWM projects.	The line departments/agencies are associated with these programmes.	As per project activity timelines.	Delay in completion of projects and their reporting resulting delay in release of funds.
HIPA and empanelled training agencies.	Capacity Building and exposure visit.	Training co-ordination and slots.	To impart training to the elected representatives and functionaries.	As per requirement.	The training can not be imparted.
MC Shimla.	Slum Survey under RAY.	Conduct of survey.	To prepare slum free city planning.	As per the guidelines of RAY .	Scheme can not be implemented.
Urban Local Bodies and other line departments / agencies.	Skill development training and self employment under SJSRY.	Selection of the beneficiaries.	To impart training for self employment.	As per SJSRY guidelines.	Target can not be achieved .
Urban Local Bodies and other line departments / agencies.	C/o Roof Top rain water harvesting structure .	To meet out the mandatory requirement.	To save the potable water.	As per requirement of HPMC Act, 1994.	Potable water can not be saved.
IPH Department, Urban Local Bodies and other line Departments.	Sewerage system	IPH Department is executing and maintaining the sewerage systems.	To give clean and sewage free environment to the citizens.	It is essential for all the human habitation.	Sewerage schemes can not be completed.
Urban Local Bodies.	Collection of property text.	To improve the collection.	To improve the financial position of the ULBs .	As per provisions of the Act.	ULB will not be financially self resilient.

Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
1 Provide financial and technical support to Urban Local Bodies to facilitate creation of basic urban infrastructure.	ULBs, IPH, Revenue, Forest, HIMUDA, TCP,	[1.1] 1.1 Better city infrastructure including transportation, watersupply, sewerage and housing through various projects. No. of Cases of water borne diseases should be reduced in the cities. By providing street lights, crime per thousand population should reduce. By providing better road facilities accidents per thousand population should reduce. Improvement in collection of water charges, sewerage and property tax.	number	1	4	8	14	16
2 Capacity Building	HIPA, ULBs and empaneled agencies.	[2.1] 2.1 Empowerment of Urban Voter participation	number	150	180	200	220	240
3 Slum free city planning	ULBs	[3.1] 3.1 The slum population increase rate will be reduced.	number	0	1	1	1	1
4 Generation of employment	ULBs and other line departments and empanelled agencies	[4.1] 4.1 Better quality of life by providing support for self employment and skill training to the urban poor.	number	82	234	260	290	300
5 Roof Top rain water harvesting	ULBs and TCP Deptt.	[5.1] 5.1 Improved RWH system (%age) resulting decrease in	%age	25	28	30	32	34

Section 6: OutCome/Impact of Department/Ministry

OutCome/Impact of Department/Minist	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 10/11	FY 11/12	FY 12/13	FY 13/14	FY 14/15
		use of potable water and saving in energy consumption .						
6 Sewerage system in ULBs	IPH and ULBs	[6.1] 6.1 Improved sewerage system and to provide pollution free and clean environment in ULBs.No.of cases of water borne diseases should reduce .	number	0	2	2	2	3
7 Collection of property tax	ULBs	[7.1] 7.1 Improvement in %age tax collection system	%age	35	45	55	58	60